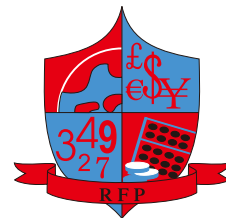


# 注册财务策划师协会



## 注册财务策划师协会 (HKRFP)

注册财务策划师协会成立目的是推动财务策划界的专业地位。协会是一个自我监管及非牟利机构。凡从事与财务策划相关行业的专业人士(如下)，协会除承认其资格外，并会接纳符合协会要求之人士注册成为会员：

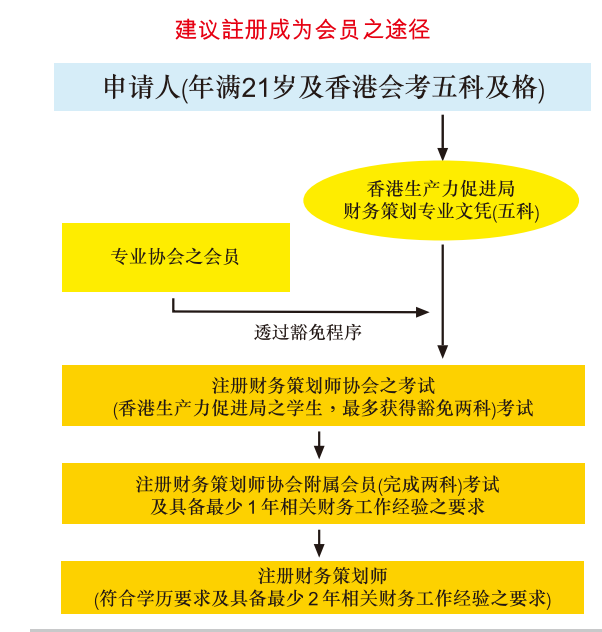
会计师；  
法律专业人士；  
信贷主任；  
地产经纪；  
保险中介人；  
银行从业员；  
股票经纪；  
投资顾问；  
财务顾问；  
其他相关行业之专业人士

### 使命

协会以促进财务策划的专业水平，提高公众对财务策划这行业的认知及了解为使命。我们并希望透过提高从事财务策划人士的专业水准及推动卓越服务，使财务策划师的服务更能达到公正、客观及符合大众利益等原则。

### 会员素质

此外，协会不断举办持续教育、考试，并确立专业的守则与责任，以确保财务策划师的专业素质及其服务能符合大众利益。注册财务策划师协会会员将以真诚的态度评估顾客的财务需要，以订出一套合适的方案。另一方面，协会深信人际技巧与专业技能同样重要，协会的所有会员，都会以最专业的态度，因应顾客的需要，致力为客户作出财务策划、投资计划、保险及税务计划方案等。



图一：成为注册财务策划师协会不同类别会员之途径

### 会员类别

协会将根据个别人士的资历及经验，而接受其为不同级别的会员，至于如何申请成为不同类别之会员，协会已订出清晰的途径及程序。(图一)

### 国际机构联盟

注册财务策划师协会为了让会员得到国际认同，过去一直致力寻求与不同的国际专业机构建立联盟。

二零零三年八月，协会与英国财务会计师公会(IFA)签订了一项互相承认资格的协议。英国财务会计师公会成立于一九一六年，是最全世界历史最悠久的非特许会计师组织。它代表了逾八十个国家之会员及学生，并提供专业资格予有志从事财务管理之人士，及提供持续专业训练予财务会计师。透过这次协议，注册财务策划师协会会员可以获得这所世界认同的英国专业机构的资格。

### 香港财务策划师选举

有志于财务策划行业发展迅速，注册财务策划师协会于2003年率先举办年度奖项—「香港财务策划师选举」，以表扬香港及内地卓越的财务策划代表。这是行内首个同类型的选举，获多间专业团体大力支持。

选举的目标：

1. 提升财务策划行业的专业水平
2. 嘉许表现杰出的财务策划师
3. 建立财务策划师的专业形象
4. 提高公众认知财务策划服务的价值

详情可参阅网址：  
[www.hkrfp.org/hkfp2007](http://www.hkrfp.org/hkfp2007)

## 协会之重要事项

### 成立日期：

注册财务策划师协会是一个专业组织，二零零一年于香港成立，其目标为推动财务策划的专业地位。

### 会员人数及职业：

至二零零七年一月底止，注册财务策划师协会拥有超过二千五百名会员，其中百分之三十一为专业会计师；百分之二十一从事保险中介人；百分之二十三任职财务顾问；百分之二十从事银行业，余下的会员分别任职金融服务见习生或法律专业人士等等。

### 甚么是注册财务策划师？

注册财务策划师是一项专业资格，只要是从事与财务策划相关行业的人士，在取得相关工作经验及考取注册财务策划师协会之专业试合格后，便可取得该资格。由于协会之会员均从事与财务策划有关之行业，因此他们都会在衡量其客户之风险后，并因应其财务需要而制订出一套合适的财务计划。在经济不明朗，社会及法制的不断改革，税率的增加与市场不断推出崭新的金融产品等因素下，专业的财务策划服务显得特别有价值及重要。

### 如何成为一个注册财务策划师？

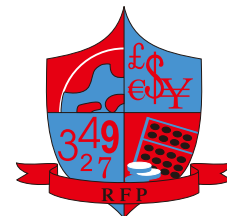
要成为一个注册财务策划师，你需要符合一些专业要求，包括考获协会的专业考试，该考试在香港每年分别于六月及十二月举办，中国内地则每年分别于三月、六月、九月及十二月举办。考试内容包括五部份：财务策划概论、保险策划、投资策划、国际财务管理与税务及财务策划实务等。考生可报读协会认可的学术或机构举办之课程。

### 其他资料

查询注册财务策划师协会其他详情，请

电邮：[info@hkrfp.org](mailto:info@hkrfp.org)  
登入网页：[www.hkrfp.org](http://www.hkrfp.org)  
电话：(852) 3105 1744  
传真：(852) 2186 7136  
香港湾仔轩尼诗道338号  
北海中心 25楼 E室

# Information Sheet about Society of Registered Financial Planners



## Society of Registered Financial Planners

Society of Registered Financial Planners (HKRFP) is established to promote professionalism in financial planning. HKRFP is a self-regulatory and not-for-profit organization. It recognizes and registers qualified individuals who are in the field of financial planning including but not limited to :

Professional Accountants  
Legal Professionals  
Credit Officers  
Real Estate Agents  
Insurance Intermediaries  
Bankers  
Stock Brokers  
Investment advisers  
Financial Consultants  
Other similar professionals

### Mission Statements

HKRFP's mission is to foster the professional standards of financial planning and to raise public awareness of the value of financial planning services. We aim to promote professionalism and excellence among financial planners so that they shall act with integrity, objectivity and in the public interest.

### Quality of members

To maintain the quality of the HKRFP designation, HKRFP is established to regulate its members to act in the public interest by establishing and through continuous education, examination, experience, enforcement of code of ethics and professional responsibilities. The members of HKRFP are required to take a holistic approach to assess and serve their clients' financial needs. HKRFP believes people skills are at least as important, if not more than, as technical ones. All members of HKRFP are competent in financial planning, investment planning, insurance planning and tax planning and exercise the utmost professional conduct to meet with their clients' financial goals.

### I. Recommended Progression Route

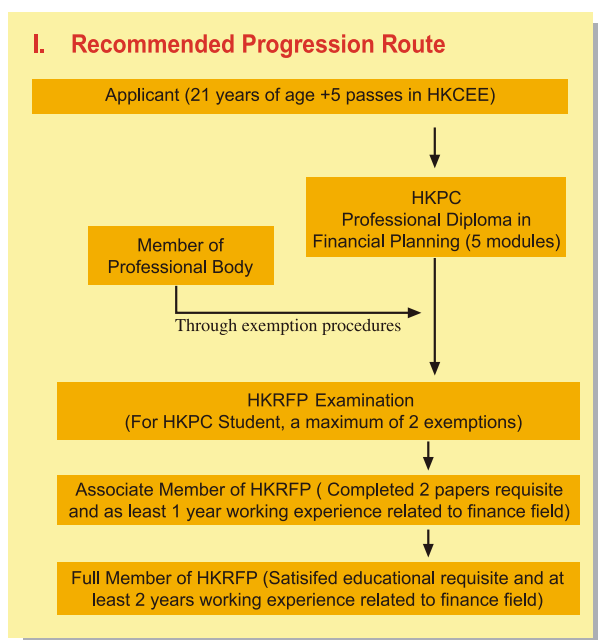


Figure 1: Path to become different levels of membership of HKRFP

### Levels of membership

HKRFP offers different levels of membership according to individuals' merits and experience and there is a clear path on how to become a member of HKRFP and on the different levels of membership (Fig 1).

### International Affiliation

Since its Inception, HKRFP has been seeking affiliation with different international professional bodies to provide members with International recognition.

In August 2003, HKRFP has signed a reciprocal agreement with Institute of Financial Accountants (IFA). IFA was established in 1916 and is the oldest body of non Chartered Accountants in the world. It represents members and students in more than 80 countries, providing qualifications for those wishing to work in financial management and continuous professional development for qualified Financial Accountants. Through this reciprocal arrangement, members of HKRFP can gain access to a UK professional body with worldwide recognition.

### Hong Kong Financial Planner of the Year Award

Noticing the phenomenal growth in the financial planning industry, Society of Registered Financial Planners (HKRFP) has initiated an annual prize in 2003- "Annual Hong Kong Financial Planner of the Year Award", dedicated to recognizing the top representatives in the field of financial planning. This is the first ever industry award and supported by many professional organizations.

The objectives of the Award are to:

1. Promote professionalism of the financial planning industry.
2. Give due recognition to successful financial planners for their achievements.
3. Build up the image of financial planners as a prestigious profession.
4. Raise public awareness of the value of financial planning services.

For details, please visit [www.hkrfp.org/hkfp2007](http://www.hkrfp.org/hkfp2007)

### Points of interest:

- **Year of establishment :**  
Society of Registered Financial Planners. is a professional association promoting professionalism in financial planning. It was established in 2001 in Hong Kong.
- **Membership number and occupation**  
It has over 2,500 members as of Jan, 2007. Its members are employed in different professions:  
31% of them are professional accountants, 21% of them are insurance intermediaries, 23% of them are financial advisors, 20% are working in the field of banking and the rest are financial services trainees and legal professionals.
- **What is a Registered Financial Planner?**

Registered Financial Planner (RFP) is a professional designation acquired by financial planning practitioners that have satisfied the qualifying examination and experience requirements of HKRFP. Members of HKRFP are related to the provision of financial planning services to clients. Our members understand that a well-designed financial plan could better serve clients' financial needs and choices, given his/her risk profile. Professional financial planning advice are particularly valuable and important under times of economic uncertainties, changing social/legal structures, surging new financial products and increasing tax rates.

- **How to become a HKRFP member?**  
To become a member of HKRFP, one must pass the qualifying requirements including examinations offered by HKRFP, which is offered twice a year, in June and December and quarterly in China. Students have to write five papers, including Financial Planning, Insurance Planning, Investment Planning, Tax Planning and Best Practice for Financial Planners. Students may register for appropriate courses offered by the approved institutions and organizations.
- **Further information:**  
For more information about HKRFP, please visit:

[info@hkrfp.org](mailto:info@hkrfp.org)  
<http://www.hkrfp.org>  
Phone: (852) 3105 1744  
Fax: (852) 2186 7136  
Unit E, 25/F., CNT Tower,  
338 Hennessy Road,  
Wanchai, H.K.